

According to the anti-money laundering and tax administration laws of the Republic of Lithuania, the Bank is obliged to collect customer information when establishing and/or expanding business relationship with customers. In view of the requirements of such legislation, the Bank takes decisions on establishing or expanding business relations. Further information may be requested on receipt of this form for further verification purposes. According to the Law on Banks of the Republic of Lithuania, the customer’s presented data is confidential (secrecy of the bank) and can be disclosed only under the procedure established by the law.

1. INFORMATION ABOUT LEGAL PERSON, INCLUDING ALL OTHER LEGAL ARRANGEMENTS

Full name of legal person

Company code

Registration date

Registration country

Number of employees

Phone No.

E-mail address

Contact language

English

Lithuanian

Legal status

Limited liability company

Stock company

Stock company (publicly listed)

Self-employment

Fund or society

Non-profit institution

Other (specify)

Does the company have a LEI code?

No

Yes (indicate LEI code):

(LEI – an international 20 letter and digit combination, which is assigned to legal entities that deal in financial instruments, such as shares, bonds and stock exchanges)

Country

City / District

Town / Village

Street name, Number

Postal code

Tax Identification Number (TIN)

Legal address

Correspondence address

Residence address for income tax purposes

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INFORMATION ABOUT COMPANY HEAD

Name

Surname

Personal code

Date of birth

Citizenship

Country

City / District

Town / Village

Street name, Number

Postal code

Address of residence

Phone No.

E-mail address

2. INFORMATION ABOUT CUSTOMER’S REPRESENTATIVE (PLEASE INDICATE IF FILLED OUT NOT BY THE COMPANY HEAD)

Name

Surname

Personal code

Date of birth

Citizenship

Country

City / District

Town / Village

Street name, Number

Postal code

Address of residence

Phone No.

E-mail address

Representative

Holds the position of (please indicate the position)

acts under the power of attorney, valid until

3. INFORMATION ABOUT ACTIVITY

Place of business (main business/ operational countries)

Company activity code (four-digit NACE code)

PLEASE INDICATE WHETHER YOU ARE ENGAGED IN ANY OF THE BELOW LISTED ECONOMIC ACTIVITIES:

Organization and running of lotteries and gambling

Business related to ferrous, non-ferrous or precious metals, gems, jewellery, works of art or precious metals, gems, jewellery, works of art

Organization and running of auctions

Oil product trading

Financial support, charity

Trade in arms and ammunition

Virtual currency trading/activities related to virtual currencies

Electronic money institution/activities related to electronic money

Payment institution/provision of payment services

Financial services and currency exchange/financial mediation

PROVISION OF FINANCIAL SERVICES

No

Yes (specify what financial services the company provides and indicate GIIN code)

Is the company's business involved in a payment service, an e-money or a virtual currency area?

Yes

No

Does the company have the license in place? (Answer only if previous question was "Yes")

Yes

No

Specify which part (%) of total/gross income of the Company was passive income (interest, dividends, royalties, etc.) for the last financial period.

For the last financial period passive income of the Company was :

☐ Less than 20% of total/gross annual income

20% and more, but less than 50% of total/gross annual income

50% and more of total/gross annual income

| | | | | | |
|---|---------|--|--------------------------------------|-------------------------------------|-----------------|
| 4. PURPOSE OF OPENING ACCOUNT | | | | | |
| Reason for account opening in Lithuania | | Registered in Lithuania | | Main business partners in Lithuania | |
| | | Shareholder or beneficial owner is a resident of Lithuania | | Business activities in Lithuania | |
| | | Other (specify) | | | |
| 5. INFORMATION ON FINANCIAL TRANSACTIONS | | | | | |
| Does the company have accounts in other banks? | | Yes | | No | |
| Name of the bank | | Bank's country | | | |
| | | | | | |
| Services you are planning to use in the bank | | | | | |
| Bank accounts Payment/credit cards | | Securities Currency exchange | | Internet banking Loans | |
| | | | | Leasing Deposits | |
| | | | | Other services (please indicate) | |
| Source of funds | | | | | |
| Revenue | | Investments | | Loans / credits | |
| Financial support from state / international bodies | | Dividends from branches | | Donations | |
| Customer holds also its own customer's money on the accounts (trust services) | | | | Other (specify) | |
| Previous year's monthly turnover (EUR): | | (specify the amount) | | | |
| | | | | | |
| Planned regular monthly turnover in the Bank (EUR): | | | | | |
| Incoming payments | | (specify approx. amount) | | | |
| Outgoing payments | | (specify approx. amount) | | | |
| Countries where payments are planned to be sent and / or received | | | | | |
| Are you planning to perform cash deposit transactions? | | | | | |
| No | | | | | |
| Yes (please indicate monthly turnovers and origin of deposited funds below) | | Cash deposit monthly turnovers (EUR): | | (specify approx. amount) | |
| Origin of deposited funds: | | | | | |
| Revenue | | Loans / credits | | Dividends from branches | |
| Donations | | Other (specify): | | Investments | |
| | | | | Financial support | |
| Are you planning to perform cash withdrawal transactions? | | | | | |
| No | | | | | |
| Yes (please indicate monthly turnovers and purpose of withdrawn funds below) | | Cash withdrawal monthly turnovers (EUR): | | (specify approx. amount) | |
| The purpose for which the withdrawn funds will be used: | | | | | |
| Administrative expenses for premises | | Buy goods and services for selling | | Expenses for staff needs | |
| To cashbox | | Remuneration purposes | | Dividends / loan returns to owners | |
| Other (specify): | | | | | |
| MAIN BUSINESS PARTNERS | | | | | |
| Partners from which funds will be received | | | Partners to which funds will be sent | | |
| Country | | Name | Country | | Name |
| | | | | | |
| 6. SHAREHOLDERS (PRINCIPAL OWNERS) | | | | | |
| All private and/or legal persons who directly own 25% or more of the company's shares, capital or voting rights, or other party with rights to manage the company's profit. | | | | | |
| If there are legal entities among the company's direct shareholders, please explain and / or draw an explicit ownership structure of the company or add supplementary documents or schemes explaining it, signed by an authorized company representative. | | | | | |
| | | | | | |
| 1. | Name | Surname / Company name | Personal / Company code | Date of birth / Registration date | Shares held (%) |
| | Country | City / District | Town / Village | Street name, Number | Postal code |
| | | | | | |
| 2. | Name | Surname / Company name | Personal / Company code | Date of birth / Registration date | Shares held (%) |
| | Country | City / District | Town / Village | Street name, Number | Postal code |
| | | | | | |

| | | | | | |
|--|---------|------------------------|-------------------------|-----------------------------------|-----------------|
| | Name | Surname / Company name | Personal / Company code | Date of birth / Registration date | Shares held (%) |
| 3. | Country | City / District | Town / Village | Street name, Number | Postal code |
| | Name | Surname / Company name | Personal / Company code | Date of birth / Registration date | Shares held (%) |
| 4. | Country | City / District | Town / Village | Street name, Number | Postal code |
| There are no such legal and/or natural persons (none of them owns or controls more than 25 % of the shares or voting rights). If this is the case, if possible please indicate the major shareholders with the largest shareholdings in the table above. | | | | | |

SHAREHOLDER OWNERSHIP STRUCTURE

I hereby confirm that the shareholder ownership structure is attached to this questionnaire
If the structure is not attached, please explain or draw an explicit ownership structure of the company

7. BENEFICIAL OWNERS

All natural persons who directly or indirectly own or control 25% (or more) of the company's shares, capital or voting rights, including bearer shares; also a natural person who in other ways controls the company.
If there are no natural persons, who control 25% or more of the company, then, according to the Act on Prevention of Money Laundering and the Financing of Terrorism of the Republic of Lithuania, the general manager is to be considered the beneficial owner of the entity.

| | | | | | | |
|---|---------------|-----------------|----------------|-----------------------|-------------|---|
| 1. | Name, Surname | Personal code | Date of birth | Country of birthplace | Citizenship | % of shares, voting rights or capital share |
| | Country | City / District | Town / Village | Street name, Number | Postal code | Taxpayer Identification Number |
| Address | | | | | | |
| Residence address for income tax purposes | | | | | | |
| 2. | Name, Surname | Personal code | Date of birth | Country of birthplace | Citizenship | % of shares, voting rights or capital share |
| | Country | City / District | Town / Village | Street name, Number | Postal code | Taxpayer Identification Number |
| Address | | | | | | |
| Residence address for income tax purposes | | | | | | |
| 3. | Name, Surname | Personal code | Date of birth | Country of birthplace | Citizenship | % of shares, voting rights or capital share |
| | Country | City / District | Town / Village | Street name, Number | Postal code | Taxpayer Identification Number |
| Address | | | | | | |
| Residence address for income tax purposes | | | | | | |

| | | | | | | |
|---|---------------|--|----------------|-----------------------|-------------|---|
| 4. | Name, Surname | Personal code | Date of birth | Country of birthplace | Citizenship | % of shares, voting rights or capital share |
| | Country | City / District | Town / Village | Street name, Number | Postal code | Taxpayer Identification Number |
| Address | | | | | | |
| Residence address for income tax purposes | | | | | | |
| No beneficial owners | | Reason for no beneficial owners: | | | | |
| | | Shares of the customer/ the company controlling the customer are traded on stock exchange of the EU Member States (or the EU-equivalent). Please indicate ISIN: | | | | |
| | | The customer is/ is controlled (governed) by the Lithuanian state or municipal institution or agency, state or municipal company, public institution, whose owner or stakeholder is state or municipality. | | | | |

8. INFORMATION ABOUT CUSTOMER'S RELATION WITH POLITICALLY EXPOSED PERSON

A politically exposed person is a private person who is or has been within the last 12 months entrusted with prominent public functions. Also their immediate family members* or close associates**.

* Immediate family members are considered to be: spouse, the person with whom partnership registered (co-habitant), parents, siblings, children and children's spouses, children's co-habitants, parents of spouse or co-habitant.

** Close associates are persons with whom you have a joint business or maintain other business relations.

DOES THE COMPANY'S GENERAL MANAGER, REPRESENTATIVE(S), BENEFICIAL OWNER(S), DIRECT SHAREHOLDER(S), OR THEIR IMMEDIATE FAMILY MEMBERS OR CLOSE ASSOCIATES HOLD (OR HAVE HELD NO MORE THAN ONE YEAR AGO) A PROMINENT PUBLIC POSITION IN THE REPUBLIC OF LITHUANIA, EUROPEAN UNION, INTERNATIONAL OR FOREIGN INSTITUTIONS?

No

Yes (please indicate):

Heads of State or of Government, a minister, a vice-minister or a deputy minister, Secretary of State, Chancellor of the Parliament, the Government or the Ministry;

A member of the parliament, a mayor of the municipality or director of the municipal administration;

A member of the Supreme Court, the Constitutional Court or any other judicial authority, whose decisions are not subject to appeal;

A member of the management body of the supreme audit and control institution or chairperson, his or her deputy or a member of the board of the central bank;

An ambassador, chargé d'affaires ad interim, the Head of the Lithuanian Armed Forces, Commander of Land Forces and units, Chief of Defence Staff or senior officer of foreign Armed Forces;

A member of the management or supervisory body of a State/municipal company, public limited liability company, private limited liability company in which the shares or part of the sharesgranting more than 1/2 of the total votes at the general meeting of their shareholders are owned by the State/municipality;

A head of an international or intergovernmental organization, his or her deputy, a member of its management or supervisory body;

A leader of a political party, his or her deputy, a member of the management body.

Please indicate a Politically exposed person (s):

| | |
|---------------|---------------------------------------|
| Name, Surname | Country, institution, functions, year |
|---------------|---------------------------------------|

Please indicate the relations with this person(s):

| | |
|---------------------|--------------------|
| Head of the company | Beneficial owner |
| Representative | Direct shareholder |

Please indicate the relations between head of the company/ representative/ beneficial owner/ direct shareholder and this person(s):

| | |
|--------------------------|------------------|
| Immediate family member* | Close associate* |
|--------------------------|------------------|

*Please explain the relations between this person and the person(s) acting in the company (e.g. the beneficial owner's daughter and etc.)

PRIVACY NOTICE

The Client confirms that personal data of persons other than the Client provided in this document, is obtained lawfully and that the Client is entitled to disclose such personal data to the Bank for the purposes of implementation of the requested service(s). The Client confirms and guarantees that such persons are informed of the processing of their personal data by the Bank and have consented or otherwise legally agreed to such processing. The Client confirms that such persons have been referred to Luminor's Privacy Policy which can be found: at: <https://www.luminor.lt/en/privacy-policy>.

I confirm that I'm fully authorized to sign this Questionnaire on behalf of the customer. I confirm that the information provided in this Questionnaire is correct and I undertake to inform Luminor Bank AS Lithuanian branch in writing about any changes in the information provided. I undertake to use Luminor Bank AS services for legitimate purposes only and I understand that if the information in this Questionnaire turns out inaccurate or misleading, Luminor Bank AS has the right to inform the law enforcement authorities of the Republic of Lithuania and unilaterally terminate the business relationship.

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|---|---|
| Name, surname, signature of the customer's representative, company seal | Name, surname, signature of the Bank employee who has accepted this Questionnaire |
|---|---|

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|---|------|
| By signing this document the representative of the Client acknowledges that the Bank process his personal data according to Luminor's Privacy Policy and Luminor's Data Retention Policy which can be found at: https://www.luminor.lt/en/privacy-policy | Date |
|---|------|

In case the Questionnaire is filled out outside the Bank's branch, please send the completed and signed Questionnaire by mail (to the address: Konstitucijos ave. 21A, LT-03601 Vilnius, Lithuania, Luminor Bank AS Lithuanian branch) or via e-mail klientoanketa@luminor.lt